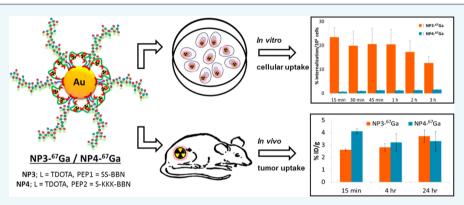


Interrogating the Role of Receptor-Mediated Mechanisms: Biological Fate of Peptide-Functionalized Radiolabeled Gold Nanoparticles in **Tumor Mice**

Francisco Silva, †, O Ajit Zambre, ||, O Maria Paula Cabral Campello, *, † Lurdes Gano, † Isabel Santos, † Ana Maria Ferraria, † Maria João Ferreira, * Amolak Singh, || Anandhi Upendran, † António Paulo, *, † and Raghuraman Kannan*, I,#, V

Supporting Information



ABSTRACT: To get a better insight on the transport mechanism of peptide-conjugated nanoparticles to tumors, we performed in vivo biological studies of bombesin (BBN) peptide functionalized gold nanoparticles (AuNPs) in human prostate tumor bearing mice. Initially, we sought to compare AuNPs with thiol derivatives of acyclic and macrocyclic chelators of DTPA and DOTA types. The DTPA derivatives were unable to provide a stable coordination of ⁶⁷Ga, and therefore, the functionalization with the BBN analogues was pursued for the DOTA-containing AuNPs. The DOTA-coated AuNPs were functionalized with BBN[7-14] using a unidentate cysteine group or a bidentate thioctic group to attach the peptide. AuNPs functionalized with thioctic-BBN displayed the highest in vitro cellular internalization ($\approx 25\%$, 15 min) in gastrin releasing peptide (GRP) receptor expressing cancer cells. However, these results fail to translate to in vivo tumor uptake. Biodistribution studies following intravenous (IV) and intraperitoneal (IP) administration of nanoconjugates in tumor bearing mice indicated that the presence of BBN influences to some degree the biological profile of the nanoconstructs. For IV administration, the receptor-mediated pathway appears to be outweighed by the EPR effect. By contrast, in IP administration, it is reasoned that the GRPr-mediated mechanism plays a role in pancreas uptake.

■ INTRODUCTION

Targeting nanoparticles selectively to the tumor site remains a significant challenge. To overcome this challenge, several research studies focused on understanding the transport mechanism of nanoparticles to the tumor. 1-8 Two types of mechanisms, passive and active targeting, are reported in the literature to explain the delivery of nanoparticles to the tumor.9 Passive targeting is based on the leakiness characteristics of the tumor; whereas, active targeting is based on the receptors that are overexpressed on the tumor. Nanoparticles choose either one or both of these mechanisms to reach the tumor site.

Previous studies demonstrate that numerous parameters influence the choice of mechanism for in vivo delivery of nanoparticles to tumors. 4,10-15 Several endogenous parameters including size, charge, and surface-bound ligand play significant roles in deciding the mechanism of delivery of nanoparticles. 4,10-15 In addition, exogenous factors such as reticuloendothelial system (RES) sequestration and biocorona

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[†]Centro de Ciências e Tecnologias Nucleares, Instituto Superior Técnico, Universidade de Lisboa, Lisbon, Portugal

[‡]Centro de Química-Física Molecular, Instituto Superior Técnico, Universidade de Lisboa, Lisbon, Portugal

[§]Centro de Química Estrutural, Instituto Superior Técnico, Universidade de Lisboa, Lisbon, Portugal

Departments of Radiology, [⊥]MU-iCATS, [#]BioEngineering, and [∇]International Center for Nano/Micro Systems and Nanotechnology, University of Missouri, Columbia, Missouri 65211, United States

Scheme 1. Synthesis of TDOTA^a

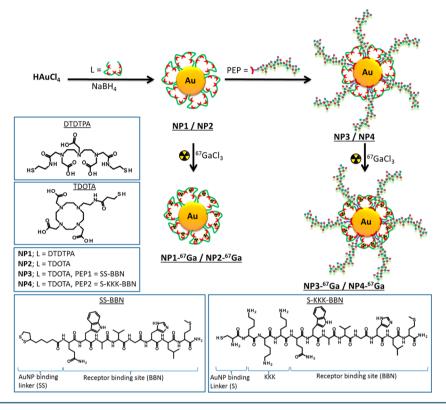
"Reactions and conditions: (i) MeOH, 24 h, RT; (ii) toluene, 24 h, reflux; (iii) CH₃CN, Na₂CO₃, 8 h, 70 °C; (iv) TFA/CH₂Cl₂, 2 h, RT; (v) THF, Ph₃CSH, NaH, 30 min, RT; (vi) CH₂Cl₂, NHS, EDC, DIPEA, 24 h, RT; (vii) DMF/CH₂Cl₂, DIPEA, 24 h, RT; (viii) THF/MeOH/H₂O, LiOH, 24 h, RT; (ix) TFA/H₂O/thioanisole/1,2-dithiolethane, 2 h, RT.

formation are also responsible in the transport mechanism of NPs to tumor. 16,17 With regard to passive targeting, Xia and coworkers have demonstrated that 30 nm gold cages performed better in vivo when compared with 55 nm nanocages. 18 In sharp contrast, Chan and co-workers have shown that smaller sized gold nanoparticles (20 nm) exhibit a lower degree of tumor accumulation than did 100 nm particles. 19,20 These studies further demonstrate that multiple factors in addition to size play a role in deciding the final in vivo destination of nanoparticles in passive targeting. A similar observation is noted in the case of active targeting. Several novel approaches were developed for directing the nanoparticles toward receptors that are overexpressed on the surface of the tumor. A variety of biomolecules including antibodies, ScFv fragments, affibodies, peptides, aptamers, and carbohydrates have been attached to nanoparticles and their selective uptake in tumors studied. 4-6,8,21-25 Even though these approaches are relatively successful, it is not yet clear that surface bound biomolecules have a convincing role in targeting the tumor. Pioneering work by Park and co-workers demonstrate that antibody targeted liposomes have not shown any increase in tumor uptake when compared with the nontargeted liposomes.²⁶ Nevertheless, Davis and co-workers have demonstrated that targeted

nanoparticles showed enhanced efficacy than the nontargeted analogue.²⁷ As noted above, the ground rules for developing a successful targeted nanoparticles are still emerging.

To obtain further insight on the role of surface bound biomolecules in targeted delivery, herein we have systematically studied the in vivo tumor targeting characteristics of radiolabeled gold nanoparticles (AuNPs) covalently conjugated with bombesin peptides. Bombesin (BBN) peptide recognizes gastrin releasing peptide (GRP) receptors that are overexpressed in a variety of human tumors including prostate, breast, and lung cancer. ^{28–31} In this study, the *in vivo* tumor uptake of these AuNPs is studied in prostate tumor bearing mouse models using Ga-67 as the radiolabel. 67 Ga is a γ -emitting radionuclide that presents physical properties suitable for in vivo imaging by single photon emission computed tomography (SPECT); moreover, the congener ⁶⁸Ga is an emerging positron emitter with increasing clinical relevance, namely, for tumor imaging by positron emission tomography (PET).³² For radiolabeling, gallium-chelating ligands DTPA or DOTA were surface attached aiming at a fast and stable coordination of ⁶⁷Ga. Before proceeding with the functionalization of the nanoparticle surface with the targeting peptides, it has been investigated if each type of ligand was able to achieve the

Scheme 2. Synthesis of the Nontargeted Nanoconstructs Stabilized with DTDTPA or TDOTA and the Respective Peptide Conjugated and/or Radiolabeled Particles



desired stable coordination of ⁶⁷Ga. To confirm that active targeting plays a crucial role, receptor-blocking studies were performed. We studied whether the route of administration, intravenous and intraperitoneal, plays any role in active targeting mechanism. Our previous studies were focused more on in vitro demonstration of nanoconjugates in recognizing receptors on the cell surface, and peptide conjugated gold nanoparticles internalize primarily via receptor-mediated endocytosis.^{2,33} In addition, we have also shown that radioactive bombesin gold nanoconjugates (198AuNPs) localize in the pancreas and have poor uptake in tumors in mice models. In the present study, we report the following results: (i) design, synthesis, and characterization of ⁶⁷Ga-labeled targeted AuNPs; (ii) understanding the in vitro stability, GRP receptor recognition, and internalization efficacy of targeted AuNPs in human cancer cells; (iii) measuring the quantitative biodistribution of nanoparticles in tumor bearing mice models; (iv) comparing uptake of targeted nanoparticles in tumor mice by blocking receptors; and (v) evaluating the route of administration of nanoparticles for optimal tumor uptake.

■ RESULTS AND DISCUSSION

It is important to design a robust and tunable nanoconstruct to effectively study the mechanism of tumor uptake in mice models. We have set out to obtain a library of BBN targeted ⁶⁷Ga-labeled nanoparticles of the general formula [AuNP(L)-(R)], where L represents a polyamino carboxylic chelator, and R can be a BBN derivative. These nanoconstructs would contain three important components. (i) Component 1 (AuNPs): the nanoparticle core comprised rigid small core (3–5 nm) sized gold nanoparticles (AuNPs). The variation in

core size was minimized throughout the study. Several investigations have demonstrated that AuNPs are nontoxic, nonimmunogenic, and are ideally suited for our study. (ii) Component 2 (L): We investigated both DTPA and DOTA as ligands (L) for irreversibly chelating ⁶⁷Ga and the surface anchored to AuNPs. L contains both the "SH" group and amino carboxylates for attaching with AuNP and to chelate ⁶⁷Ga, respectively. The radiolabeling method was chosen for performing quantitative in vivo biodistribution studies since the measurement of radioactivity is a much more reliable method than the elemental analysis of gold (e.g., by fAAS, ICP, or NAA). However, it is important to ensure irreversible attachment of the radionuclide to the surface of the nanoparticle. (iii) Component 3 (BBN): the targeting molecule chosen for the study is a receptor-avid peptide, a bombesin derivative. Our rationale for choosing BBN is as follows: GRP receptors (called bombesin type 2 (BB₂) receptor) have high affinity for its natural ligand, bombesin (BBN). Several clinical studies have shown that BBN analogues have superior targeting characteristics.34-3

The ligand framework, DTPA or DOTA, was attached on the surface of the nanoparticle by a stable thiol bond. Such proximity between the ligand and nanoparticle provides rigidity and enhanced stability to the final construct. Several research studies have shown that both DTPA and DOTA are excellent chelating ligands for stabilizing Ga-67 in both molecular or nanosized frameworks. ^{37–40} As such, both DTPA and DOTA ligands lack chemical functionality to directly attach with gold atoms. Hence, we devised synthetic strategies to incorporate thiol groups in the ligand structure being aware that the modification of these polyamine chelators (L) can influence the *in vivo* stability of ⁶⁷Ga-L that directly depends on the coordination saturation and *trans*-chelation with transferrin.

Table 1. Physico-Chemical Characterization of Nanoconjugates Synthesized in the Present Study

nanoparticle ^a	UV-vis (nm)	TEM (nm)	hydrodynamic size (nm) (PDI)	zeta-potential (mV)	
NP1	520	2.28 ± 1.32	100.6 (0.111)	-80.7 ± 15.6	
NP2	520	4.29 ± 1.60	20.6 (0.342)	-62.6 ± 18.6	
NP3	520	4.79 ± 1.50	22.5 (0.420)	-60.5 ± 16.4	
NP4	520	4.04 ± 1.52	47.35 (0.370)	-30.1 ± 16.8	
^a All measurements were performed by preparing the panoparticle solution in DI water (≈pH 6).					

We have focused on the already described thiolated DTPA derivative 2-[bis[2-[carboxymethyl-[2-oxo-2-(2sulfanylethylamino)ethyl]amino]ethyl]amino]acetic acid (DTDTPA) and on a new thiolated DOTA derivative. This new DOTA derivative, trimethyl 2,2',2"-(10-2(3-(tritylthio)propamido)ethyl)-1,4,7,10-tetraazacyclododecane-1,4,7-trityl)triacetate (TDOTA), relied on the introduction of a single 2ethylamine group in the cyclen framework, for further coupling to a thiolated pendant arm (Scheme 1). The synthesis of TDOTA started with the N-alkylation of cyclen by reaction with tert-butyl N-(2-bromoethyl) carbamate (1). The resulting monoalkylated cyclen derivative (2), containing a BOC protected pendant arm, was treated with methyl 2-bromoacetate to afford 3. Then, removal of the BOC protecting group from 3 with TFA gave compound 4 displaying a terminal amino function for coupling of 3-mercaptopropionic acid. Treatment of 4 with a NHS activated ester of 3-mercaptopropionic acid (6), having the terminal thiol protected with a trityl group, yielded the amide derivative 7. Basic hydrolysis of the methyl ester functions of 7 followed by acid deprotection of the trityl

Synthesis of Targeted and Nontargeted Nanocon**structs.** For synthesizing the nanoconstructs, we developed a stepwise ligand/peptide incorporation methodology. In the first step, the ligand framework was attached to the surface of AuNPs using the thiol groups of the modified DOTA or DTDTPA. A previously developed procedure was used to attach the DTPA derivative (DTDTPA) onto the surface of AuNPs, which led to the AuNP-DTDTPA (NP1) nanoconstructs (Scheme 2).^{41–44} Details of the synthetic procedure are presented in the Experimental Procedures (see Supporting Information). Similar to NP1, the strategy for the synthesis of the AuNPs stabilized with TDOTA was also based on the modified procedure of Brust et al. 45 As shown in Scheme 2, this involved the reduction of HAuCl₄·3H₂O with NaBH₄ in the presence of TDOTA using a molar ratio of 1:2.5 (Au/ TDOTA) that resulted in the formation of a dark brown solution of DOTA stabilized gold nanoconstructs designated as AuNP-TDOTA (NP2). AuNP-L (L = DTDTPA and TDOTA) were purified by centrifugation methods, washed, and used for the subsequent steps.

protecting groups led to the desired final compound.

As a next step, we focused on synthesizing radiogallium conjugates of NP1 and NP2 by reacting the nanoconstructs with ⁶⁷GaCl₃. We optimized the procedure by radiolabeling nanoconjugates at different pH and reaction times. In a typical reaction, NP1 or NP2 (0.1 mg) was dissolved in acetate buffer at pH 7 and mixed with ⁶⁷GaCl₃ (70–120 MBq) in 0.1 M HCl, and the reaction mixture was left undisturbed at 50 °C. Each reaction mixture was purified by ultrafiltration and the respective radiolabeled conjugate analyzed using radio-TLC. The radio-TLC control provided quantifiable data on the radiochemical yield and purity. The final ⁶⁷Ga-labeled nanoconstructs NP1-⁶⁷Ga and NP2-⁶⁷Ga were obtained with

radiochemical yields of 86 and 88%, respectively, and with a radiochemical purity >95%.

It is important to study the stability of the radiolabeled NP-67Ga conjugates in the presence of biologically relevant media and apo-transferrin. Ga-67 attached to a weakly stable chelate is susceptible to trans-chelation with apo-transferrin, resulting in the leaching of gallium from the chelate. Thus, this test acts as an independent measure to evaluate the stability of the nanoconjugate. For the stability studies, NP-67Ga nanoconjugates were suspended in 0.1 M PBS, NaCl 0.9%, cell culture medium, and apo-transferrin (3 mg/mL, in 10 mM NaHCO₃) and incubated at 37 °C. At different intervals of time, a small amount of solution was removed and analyzed using radio-TLC (up to 24 h). The details are presented in a graph (ESI-Figure 6). The ⁶⁷Ga-labeled nanoconstruct functionalized with DTDTPA (NP1-67Ga) showed poor stability in apo-transferrin when compared to the one functionalized with TDOTA (NP2-67Ga). In addition, NP2-⁶⁷Ga has shown a moderate to high stability in all biological media, although there is some decrease in the percentage of radiolabeled nanoconstruct for the shortest incubation times. For longer incubation times, however, such a percentage remained fairly constant. Because of the inability of NP1 to provide a stable coordination of Ga-67, we did not use this nanoplatform for further functionalization with the BBN peptides. The observed instability would lead to unreliable data regarding the biological profile of the corresponding BBNconjugated AuNPs when performing cellular (in vitro) and animal (in vivo) studies, due to a high probability that the radioactivity distribution would not reflect that of the nanoparticles.

After confirming that the AuNP-TDOTA (NP2) nanoconstruct possesses suitable coordinating properties to attach Ga-67, NP2 was functionalized with BBN peptides. We used two different BBN analogues in our study (SS-BBN and S-KKK-BBN; Scheme 2). In both the analogues the amino acid sequence BBN[7–14] remains unaltered; however, the functional group that allows for the binding to the gold surface is altered. In SS-BBN, the thioctic acid group ligates with nanoparticles, while for S-KKK-BBN, it was through cysteine-SH. We hypothesized that cysteine would allow increased loading of peptide on AuNPs. The possibility of increasing the peptide loading was expected to enhance the targeting ability of the BBN-containing AuNPs.

We used a 1:2 ratio (w/w) of AuNP/BBN for functionalizing NP2 (Scheme 2), both with SS-BBN and S-KKK-BBN, to obtain NP3 and NP4, respectively. After the reaction, the amount of unreacted BBN analogues was estimated using HPLC. The concentration of SS-BBN attached in NP3 was 0.86 μ mol (0.98 mg), while the amount of S-KKK-BBN attached in NP4 was 0.17 μ mol (0.24 mg) per mg of nanoparticle. As mentioned above, the conjugation of S-KKK-BBN to the AuNPs involves uniquely the formation of one Au-S bond, and therefore, the coupling of the same number of

peptide molecules involves less number of gold atoms if compared with SS-BBN. This is why one could expect that it should be possible to attach a high number of peptide molecules to the AuNPs in the case of S-KKK-BBN. However, the involvement of two sulfur atoms per molecule in the conjugation of SS-BBN to the AuNPs can lead to a more stable binding, which eventually justifies the higher payload that has been achieved in the case of this BBN derivative. The characterization details of these BBN-containing nanoconjugates and respective precursor AuNPs (UV—visible, TEM analysis, charge and hydrodynamic size distribution, XPS, proton, and HSQC NMR) are presented in Supporting Information (ESI-Figure 1—ESI-Figure 5; Table 1; and ESI-Table 1).

Nanoconstructs **NP3** and **NP4** were successfully radiolabeled with Ga-67 following the same procedure described previously for **NP2** (Table 2). The resulting nanoparticles, **NP3**-⁶⁷Ga and **NP4**-⁶⁷Ga, were obtained with radiochemical yields of 69% and 71%, respectively, and with a radiochemical purity >95%.

Table 2. Reaction Conditions for the ⁶⁷Ga-Labeling of Nanoconjugates and Respective Radiochemical Yields

nanoparticle	temperature (°C)	reaction time (min)	radiochemical yield (%)
NP1- ⁶⁷ Ga	50	15	86
NP2- ⁶⁷ Ga	50	30	89
NP3- ⁶⁷ Ga	50	30	69
NP4- ⁶⁷ Ga	50	30	71

The stability of NP3-⁶⁷Ga and NP4-⁶⁷Ga was studied in the presence of relevant biological media and apo-transferrin (ESI-Figure 6). Overall, NP3 and NP4 maintain a moderate to high stability as seen previously for their precursor NP2. However, among these radiolabeled DOTA conjugated NP2-NP4, NP4 showed the least stability. Nevertheless, more than 60% of NP4-⁶⁷Ga did not release ⁶⁷Ga even after 24 h of incubation with the cell medium. It is possible that the positively charged amino acids (lysine) present in the peptide backbone of NP4 repel with positively charged gallium ions in close proximity resulting in their leaching. Gallium ions farther from lysine residues are stably coordinated and stay intact with no release during the study period.

Receptor Affinity and Cellular Uptake of NP3 and NP4. We used a competitive binding assay to estimate the specificity of BBN conjugated nanoconstructs to GRP receptors overexpressed on the surface of cancer cells. In this assay, the ability of the nanoparticles to displace the radioiodinated specific binding ligand was estimated. We used $^{125}\text{I-Tyr}_4\text{-BBN}$ as the radioligand to assess the affinity of NP(2–4) in human prostate cancer cells (PC3). (Figure 1). As expected, NP3 and NP4 display a significant affinity toward GRP with IC50 values of 0.045 \pm 0.003 and 0.160 \pm 0.027 $\mu\text{g/mL}$, respectively. In a similar fashion, NP2 showed no affinity for GRP receptors. Among all of the nanoconjugates, NP3 has a lower IC50, and it can be attributed to the increased number of BBN peptides present in this construct.

One of the crucial experiments to measure the specificity of radiolabeled nanoconjugates toward GRP receptors involves understanding the internalization pattern. We performed receptor uptake studies in GRPr-positive human prostate cancer PC3 cells by exposing the cells to a solution of the radiolabeled NPs $(NP(2-4)^{-67}Ga)$ in cell culture medium and

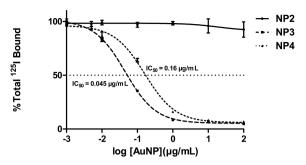


Figure 1. Representative binding affinity (mean \pm SD, n=4) of nanoconjugates NP2, NP3, and NP4 in PC-3 cells by competitive assays with ¹²⁵I-Tyr₄-BBN.

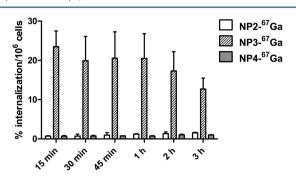


Figure 2. Cellular uptake (mean \pm SD, n=4) of NP2-⁶⁷Ga, NP3-⁶⁷Ga, and NP4-⁶⁷Ga in PC3 cells, after incubation at 37 °C at different intervals of time. Internalization is expressed as the percentage of the applied radioactivity internalized by the cells.

incubating at 37 °C for different intervals of time (Figure 2). The internalization observed for NP2 and NP4 showed a similar profile with a slow uptake reflected from the increase in radioactivity that reaches relatively low plateau values (<2%). By contrast, NP3-67Ga has shown a very high and rapid internalization into the cells with almost 25% internalization after 15 min of incubation; thereafter, there is a slow decrease in the uptake of radioactivity suggesting the release of the Ga ions from the cells. As stated before, the peptide load of NP3 is roughly 5-fold higher than that of NP4, which is certainly related to the trend observed for the cellular internalization as well as for the binding affinities of the nanoconstructs toward GRPr in PC3 cells. Altogether, these findings indicated that the internalization of NP3-67Ga should involve a receptor-mediated process. To further confirm that NP3-67Ga is retained within PC3 cells, we investigated the efflux of radioactivity from the cell. Typically, NP3-67Ga was incubated in PC3 cells for 15 min, and the unbound nanoconjugates were washed. The radioactivity retained by the cells was measured. Then, the medium was replaced with culture medium without any radioactive compound, and the radioactivity release was monitored at different intervals of time by washing and measuring the radioactivity associated with the cells again. A fast washout of the radioactivity has been observed during the first 2 h. However, thereafter, the efflux rate significantly decreases, and the activity present in the cells remains essentially constant after 4 h of incubation, reaching roughly 40% of the initial radioactivity (ESI-Figure 8). Therefore, one can consider that the PC3 cells retained a reasonable amount of NP3-⁶⁷Ga, which was an encouraging result to further study the targeting ability of these AuNPs in GRP receptor positive tumors.

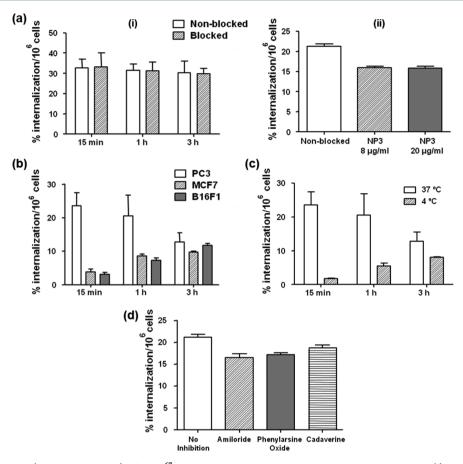


Figure 3. (a) Cellular uptake (mean \pm SD, n = 4) of NP3-⁶⁷Ga at 37 °C in PC3 cells in the presence and absence of (i) BBN (1 μ M/well) and (ii) cold NP3 (8 μ g/mL and 20 μ g/mL per well). (b) Comparative cellular uptake (mean \pm SD, n = 4) for NP3-⁶⁷Ga at 37 °C in PC3, MCF7, and B16F1 cell lines. (c) Comparison of cellular uptake of NP3-⁶⁷Ga at 37 and 4 °C in PC3 cells. (d) Cellular uptake of NP3-⁶⁷Ga in the presence of amiloride, phenylarsine, and cadaverine at 37 °C in PC3 cells. Internalization is expressed as the percentage of the applied radioactivity internalized by the cells.

Mechanism of Cellular Internalization of the NP4-67Ga **Conjugate.** As the focus of this study is to understand whether peptide conjugated nanoparticles undergo an active targeting mechanism, we have performed a very systematic study to evaluate the mechanism of uptake of NP3-67Ga in GRPr expressing PC3 cells. Several studies described above demonstrate that NP3-67Ga exhibits high stability, cellular internalization, and retention. Therefore, our mechanistic studies were performed utilizing only this conjugate. We performed four experiments using NP3-67Ga: (i) evaluate the effect of internalization of NP3-67Ga after blocking the receptors with free peptide or cold NP3; (ii) compare the internalization of NP3-67Ga in GRP receptor positive (PC3) and GRP receptor negative cells lines (human breast cancer MCF7 and mouse melanoma B16F1 cell lines); (iii) evaluate the energy dependence of the NP3-67Ga in internalization; and (iv) evaluate the effect of internalization of NP3-67Ga in the presence of cellular pathway blockers. First, GRP receptors on PC3 cells were blocked using free BBN and subsequently incubated with NP3-67Ga. Thereafter, the amount of nanoparticles internalized at different time intervals was quantified (Figure 3a (i)). These studies showed that there is no significant difference in the amount of internalized radioactivity between the blocked and the nonblocked cells. The presence of BBN on the surface of the AuNPs clearly influences its internalization into the cells, but apparently, the process involved is not mediated merely by the specific interaction

with GRPr, as indicated by the inability of cold free BBN peptide to inhibit the uptake. It was hypothesized that the moderately large hydrodynamic size of NP3 (22.5 nm (PDI = 0.420)) could contribute for an easier saturation of the GRPr receptors in the cell membrane. As invoked by other authors, due to their size, a single nanoparticle can block the access to several receptor molecules, even without being involved in direct and specific interactions with such molecules.⁴⁶ On the flip side, a single NP3 having several copies of the bioactive peptide can specifically interact with more than one GRP receptor, leading to a strong binding as found often for multimerized constructs, due to the so-called concept of avidity. 47 For all these reasons, monomeric BBN can be a less effective competitor in the GRP binding of NP3-67Ga if compared with the cold NP3 itself. Therefore, blockade experiments using increasing concentrations of cold $NP3\,$ were performed (Figure 3a (ii)). No significant decrease of the amount of internalized radioactivity was observed, even using a 5-fold greater concentration of NP3, compared to the concentration of the radiolabeled AuNPs. It should also be taken into account that due to the low amount of ⁶⁷Ga present in the radiolabeling mixture ($<4.7 \times 10^{-9}$ mmol), it is common to have nonradiolabeled AuNPs in the final NP3-⁶⁷Ga solution. These nonradiolabeled AuNPs will compete for the binding with GRP receptor. So far, the separation of the radiolabeled AuNPs from the nonradiolabeled ones was not possible with the available methodologies. In summary, blocking the GRP

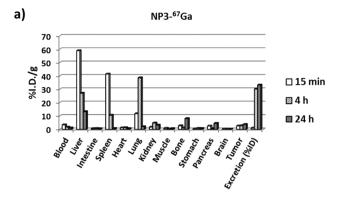
receptors on the surface does not affect internalization of NP3-67Ga in PC3 cells. Second, internalization ability of NP3-67Ga was investigated in both GRP receptor positive (PC3) and negative cell lines (human breast cancer MCF7 and mouse melanoma B16F1). It is observed that the initial rate of internalization is significant for the GRP positive PC3 cells (with >20% of internalization observed), whereas in the GRP receptor negative cell lines less than 5% of internalization was observed at 15 min of incubation. Moreover, there is a slow decrease in radioactivity from PC3 cells upon increasing incubation time, while a reverse trend, i.e., a steady increase of internalization with the time of incubation was observed for the MCF7 and B16F1 cell lines. These results are indicative that the presence of GRPr influences the cellular uptake of the nanoparticles, taking into account the much faster internalization observed for the PC3 cells (Figure 3b). Altogether, these results prompt us to presume that the cellular internalization of NP3-67Ga in PC3 cells is fairly mediated by the GRP receptors. Third, in order to gain more insight into the involvement of different energy dependent pathways in the internalization of NP3-67Ga in PC3 cells, cellular uptake was monitored at two different temperature conditions. The uptake of NP3-67Ga in PC3 cells at 4 °C is less than that at 37 °C; these results confirm that its cellular uptake involves energy dependent mechanisms, like phagocytic and endocytic pathways (Figure 3c). Similar results are observed in our previous study on BBN conjugated gold nanocages; these cages showed decreased cellular uptake at 4 °C in GRP expressing cancer

Fourth, to investigate if the cellular internalization pathway is dependent on receptor-mediated endocytosis, cellular uptake was monitored in the presence of inhibitors of different cellular transport processes such as amiloride, phenylarsine oxide, or cadaverine (endocytosis or phagocytosis inhibitors). These inhibitors decreased the cellular uptake of NP3-67Ga up to 20% in PC3 cells (Figure 3d). Amiloride is a Na⁺/H⁺ channel inhibitor that is known to block macropinocytosis and phagocytosis pathways that correspond to nonreceptormediated pathways. Phenylarsine oxide or cadaverine, are both clathrin-mediated endocytosis (CME) inhibitors. In general, receptor based internalization of molecules involves clathrin machinery. 48 These results indicate that the uptake of NP3-67Ga occurs most probably via active phagocytic and endocytic pathways, which in the latter case might involve the internalization of GRPr. Recently, we have shown that the uptake in PC3 cells of BBN-containing gold nanocages is mediated by CME and confirmed the formation of characteristic clathrin coated pits with lysosomal release of the nanocages.³³ For NP3-⁶⁷Ga, the cellular uptake mechanistic study was not so detailed, and other internalization possibilities including other endocytic transport processes, namely, caveolae (lipid transport) or alternative pathways, need to be investigated. As proposed by other authors, the involvement of these alternative pathways may result from the aggregation of the individual nanoparticles since clathrin mediation is size dependent. ^{33,49,50} In fact, it is considered that nanoparticles can utilize CME to internalize the cells when their dynamical aggregates are not superior to a 300 nm size limit.³³ As the hydrodynamic size of NP3 was 22.5 nm (PDI = 0.420), it is conceivable that the internalization of NP3-67Ga will occur through CME, with involvement of GRP, only when these AuNPs present themselves to the cell surface as individual "monomeric" nanoparticles.

In Vivo Studies. It is important to understand the biodistribution of nanoconjugates in tumor bearing mice before initiating mechanistic studies. Previous studies demonstrate that the nanoparticles preferentially accumulate in RES organs, the liver and spleen. For example, Ga-67 labeled dextran-coated iron oxide showed 75% of ID accumulated in the liver and spleen after 15 min post-injection. 40 Our previous investigation showed that gum arabic coated radioactive gold nanoparticle accumulates in liver and spleen.⁵¹ Of interest to the present study, we have demonstrated that gold nanoparticle-bombesin conjugates accumulate more than 50% ID/g in the liver and spleen. If the size of the nanoparticles is large, accumulation in lungs also predominates; however, smaller sized particles excrete via urine.⁵² As noted in previous sections, size alone does not dictate the fate and distribution of nanoparticles in vivo. Other factors such as charge, surface coating, zeta potential, and protein corona around nanoparticles play crucial roles in their accumulation and excretion. In addition to the above, radiolabeled conjugates should establish in vivo structural integrity for effective uptake. Poor stability leads to the release of the radiolabel from the conjugate resulting in diminished uptake in target organs and thus would lead to wrong conclusions being drawn. Liu and co-workers have shown that Cu-64 alloyed gold nanoparticles showed uptake in the spleen, instead of the liver, due to a release of the radiolabel and formation of smaller size particles.⁵³ Smaller sized radiolabeled particles were filtered by the spleen, and they used biodistribution data as a tool to establish the in vivo stability. In the present study, the structural integrity of the nanoconjugate was evaluated by measuring the uptake of radioactivity in RES and other nontarget organs. Particularly, we investigated the biodistribution and tumor uptake of NP3-67Ga and NP4-67Ga (Figures 4 and 5; ESI-Table 2).

One of the important factors that represent the in vivo integrity of the nanoparticle is their uptake in RES organs. In general, most nanoconjugates show very high uptake in these organs, and therefore, it may serve as an independent parameter to evaluate their in vivo stability. For both ⁶⁷Ga labeled AuNPs reported herein (NP3-67Ga and NP4-67Ga), the general trend of the RES uptake remains similar for different time points, and the additional data are presented in the Supporting Information. At 4 h post-injection time point, NP3-67Ga showed the lowest uptake in the liver and spleen (27.1 and 10.6% ID/g) when compared with that of NP4-67Ga (61.9 and 12.7% ID/g). A recent study with Ga-68 labeled NOTA ligand conjugated to hydrophilic bombesin showed less than 5% ID/g uptake in the liver and spleen.⁵⁴ In another study, ⁶⁷Ga-DOTA-GABA-BBN showed less than 1% ID/g uptake in RES organs.³⁴ These studies confirm that small molecule BBN conjugates labeled with Ga-67 or Ga-68 show lower RES organ uptake, when compared with our ⁶⁷Ga labeled BBN-containing AuNPs.

To further evaluate the GRP receptor-targeting efficacy of the radiolabeled nanoconstructs, the uptake of NPs in the pancreas, tumor, and intestine were analyzed at different post-injection time points. It is known in the literature that the GRP receptor density in mice follow the order: pancreas > tumor > intestine, and consequently it is common to observe high uptake in the pancreas for BBN derivatives. At 24 h post-injection (p.i.), the pancreas uptakes for NP3-⁶⁷Ga and NP4-⁶⁷Ga were 4.5 and 1.5% ID/g, respectively. Previous studies show that the Ga-68-NOTA-BBN conjugate has an uptake of ~5% ID/g in the pancreas within 1 h of injection and that the ⁶⁷Ga-DOTA-GABA-BBN derivative showed 1.2% of



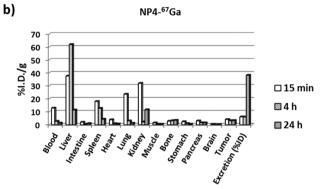


Figure 4. Biodistribution of (a) NP3- 67 Ga and (b) NP4- 67 Ga in tumor and organs in BALB/c nude mice bearing human prostate PC3 xenografts, injected intravenously via tail vein injection. Data are expressed as percentages of injected activity per gram of tissue (% ID/g), except for the GI tract, which is expressed as percentages of injected activity per organ. Error bars represent the mean \pm standard deviation (n = 3).

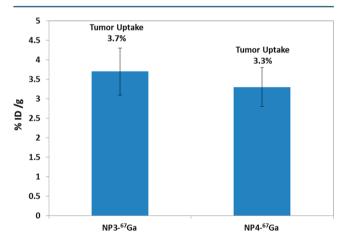


Figure 5. Comparison of tumor uptake of the BBN-containing radiolabeled nanoconstructs at the 24 h post-injection time point.

uptake.^{34,54} A very high uptake of 27% ID/g was observed in the pancreas with ⁶⁸Ga-labeled NOTA-8-Aoc-BBN(7–14)NH₂.⁵⁶ In general, BBN conjugates showed 5 to 20% ID/g of uptake in GRP receptor overexpressing tissues.^{1,57} When compared with these values, the uptakes observed for our nanoconjugates in the pancreas are relatively close to the low range values that have been found in the reported studies. Additional evidence for receptor targeting was obtained by comparing the tumor-uptake data of these conjugates. At 24 h p.i, NP3-⁶⁷Ga and NP4-⁶⁷Ga showed 3.7 and 3.3% ID/g of radioactivity uptake in tumors, respectively (Figure 5). ⁶⁸Ga-

NOTA-BBN and ⁶⁷Ga-DOTA-GABA-BBN conjugates reported in the literature showed more than 8% and 1.2% ID/g in tumors, respectively. ^{34,54} Overall, nanoconstructs NP3-⁶⁷Ga and NP4-⁶⁷Ga display uptake in tumors in line with values found from other BBN compounds reported, although NP3-⁶⁷Ga showed a remarkably high cellular internalization compared to that of NP4-⁶⁷Ga that did not translate to the biodistribution profile. At this point, we had questioned whether it was EPR or receptor-mediated uptake mechanisms that was predominant for these nanoparticles. In order to answer this, further studies were performed and are described below.

Mechanism of Tumor Uptake. To unravel the mechanism of passive versus active tumor targeting by peptide-conjugated nanoparticles, we studied the uptake of nanoparticles in the pancreas and tumor in mice after blocking all non-EPR pathways. In our experiment, we blocked receptors in tumors by injecting free BBN in mice 30 min prior to the administration of nanoconjugates. After 4 h of administration, mice were sacrificed and the radioactivity measured in the pancreas and tumor (Figure 6). Our results indicate that blocking of GRP receptors by BBN showed no effect in the uptake of nanoconjugates in both of these organs. Between both nanoconstructs, NP3- 67 Ga showed a decrease of \sim 1% ID/ g after blocking with BBN. The results suggest following important conclusions: (i) BBN peptide conjugated nanoparticles are not utilizing the receptor-mediated pathway as the primary route for targeting tumors; or (ii) the EPR pathway is dominant in the uptake of NPs in tumors. In addition to the above, the removal of nanoparticles by RES organs also plays a decisive role because the amount of nanoparticles accessible to tumors is largely limited.³ The protein binding characteristics of nanoconjugates may also possibly decide the in vivo tumor uptake. Several reports have already suggested that the biomolecular corona around nanoparticles plays important roles in deciding the in vivo fate of the nanoparticle. 9,58 The protein corona becomes very relevant in nanoconjugates that are not functionalized with PEG on the surface, as PEG molecules prevent corona effects.⁵⁹ In fact, the preformed albumin corona has been utilized as a protective coating for the delivery of nanoparticles.⁶⁰ Dawson and co-workers have recently shown that the protein corona can significantly alter the targeting characteristics of nanoparticles. 61,62 In summary, even though targeting by bombesin nanoconjugates was achieved in vitro, the in vivo targeting efficacy was dampened possibly due to the exposure of nanoconstructs to the complex biochemical milieu.

BBN Nanoconjugates Recognize the GRP Receptor in **Vivo.** To evaluate whether the route of administration of nanoparticles in mice have any effect in minimizing protein corona formation which in turn would increase tumor uptake, we chose to administer the conjugate through i.p. route to mice. i.p. administrations of nanoconjugates provide immediate access due to the proximity of the injection site to GRP receptors present in pancreas. The i.p. administration route limits the exposure of nanoconjugates to the in vivo milieu and decreases the protein corona formation. Previous studies have shown that exposure time of nanoparticles to serum protein is also an important factor in protein corona formation.⁶¹ Furthermore, i.p. administration would decrease the RES uptake and increase the accessibility of the concentration of nanoparticles to target organs. Therefore, we performed the following additional studies: (i) biodistribution of NP3-67Ga

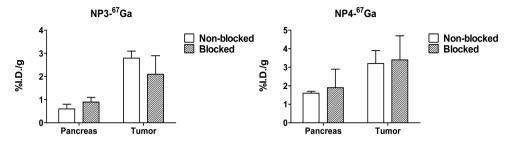
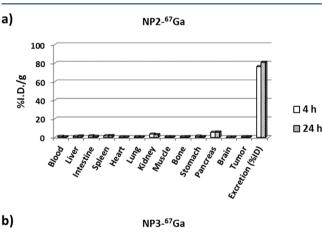


Figure 6. Uptake of NP3–67Ga and NP4–67Ga in the tumor and pancreas with and without blocking by bombesin in BALB/c nude mice bearing human prostate PC3 xenografts at 4 h post-injection. Error bars represent the mean \pm standard deviation (n = 3). Statistical analysis of the data was done with GraphPad Prism, and the level of significance was set at 0.05. For the pancreas, p = 0.5434; for the tumor, p = 0.5677. Thus, the values are not significantly different.

after i.p. administration. We have selected NP3-⁶⁷Ga due to its augmented *in vitro* cell uptake, stability, and tumor uptake. (ii) Biodistribution of NP2-⁶⁷Ga after IP administration; and (iii) i.p. injection of NP3-⁶⁷Ga after blocking the receptors with bombesin (30 min prior to the injection of nanoconjugate).

The biodistribution profile of NP3-⁶⁷Ga after i.p. injection and IV administrations are different. In particular, the radioactivity uptake in the liver, spleen, and lung is significantly lower, with values <5% % ID/g for all of the post-injection times. Moreover, there is a significant pancreas uptake of 9.7 \pm 1.6% ID/g at 4 h p.i.. The biodistribution profile of NP2-⁶⁷Ga can be considered similar but displayed a lower pancreatic uptake (5.6 \pm 0.6% ID/g at 4 h p.i.). The tumor uptake for NP3-⁶⁷Ga after i.p. administration is only slightly higher when compared with tha of NP2-⁶⁷Ga (0.95 \pm 0.03 vs 0.65 \pm 0.11% ID/g at 24 h p.i.) (Figure 7; ESI-Table 3). Also, the tumor uptake is lower than that exhibited by the same nanoconjugates



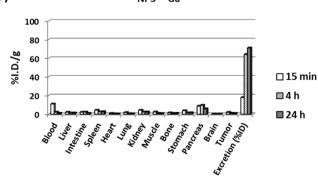


Figure 7. Biodistribution results (mean, n = 3; expressed as % ID/g of organ) for (a) **NP2**- 67 Ga and (b) **NP3**- 67 Ga after i.p. administration in BALB/c nude mice bearing human prostate PC3 xenografts.

following IV administration (3.7 \pm 0.5% ID/g at 24 h p.i.). Altogether, the study showed that there is a slight increase in the uptake of NP3-⁶⁷Ga in the pancreas. To understand whether this slight increase is receptor-mediated, we performed a receptor blocking experiment. In this experiment, the BBN peptide was injected IV, 30 min prior to the injection of NP3-⁶⁷Ga i.p.. As shown in Figure 8, there is a decrease (\approx

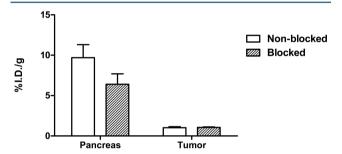


Figure 8. Comparison of the pancreas and tumor uptake (mean \pm SD, n=2; expressed as % ID/g of organ) for NP3-⁶⁷Ga after i.p. administration in BALB/c nude mice bearing human prostate PC3 xenografts, treated (blocked) or nontreated with BBN, at 4 h p.i.

34%) of the pancreas uptake of NP3-⁶⁷Ga, when blocked with BBN. The result is in accordance with previously reported receptor saturating experiments utilizing antibody labeled nanoparticles. For example, Cai and co-workers have demonstrated that antibody conjugated radiolabeled silica nanoparticles showed an ~30–40% decrease in tumor uptake upon saturating the receptors with free antibody.⁶³ These results suggest that uptake of NP3-⁶⁷Ga in the pancreas is possibly being mediated by GRP receptors but that other factors play a crucial role in tumor uptake. Nanoconjugates need to travel a longer distance to reach the tumor and would meanwhile encounter a large number of serum proteins. This is most likely the reason why i.p. administration leads to a lower tumor uptake in comparison to IV administration.

CONCLUSIONS

Our initial work was focused on studying the ⁶⁷Ga-coordination capability of two distinct AuNPs platforms, one stabilized with a DTPA derivative (NP1) and another with a DOTA derivative (NP2). Nanoconstruct NP1 lacks adequate capacity to maintain optimal Ga-67 coordination in the presence of biological media and apo-transferrin. However, NP2 showed moderate to high stability, indicating that DOTA-containing AuNPs were optimal for Ga-67 delivery and hence were chosen for BBN-conjugation (NP3 and NP4).

Previous studies in the literature have resulted in conflicting conclusions on the tumor targeting mechanism of nanoparticles, and the factors that govern the targeting property of nanoparticles are poorly understood. Nanconstruct NP3-67Ga displays a remarkably higher cellular internalization compared with that of NP4-67Ga. However, this did not translate to the biological profile, as it was observed that both nanoconjugates have a similar tumor uptake. We decided to investigate the mechanism of these targeted nanoparticles and performed blocking experiments, wherein the receptors were blocked by free peptide prior to the administration of the BBN-conjugated AuNPs. No difference in tumor uptake was observed. These results suggest that an active targeting mechanism is not playing a key role. Therefore, we believe that other factors, such as EPR and protein corona, influence the transport mechanism of targeted AuNPs to reach tumor. Aiming to obtain further understanding on whether the BBN-conjugated AuNPs recognize receptors in vivo, we performed experiments in which the nanoparticles were injected close to organs, other than the tumor, that express receptors for the bombesin peptide. It is very well known that the pancreas in mice overexpresses GRP receptors, and therefore, we administered NP3-67Ga through the i.p. route. The i.p. injection provided AuNPs in close proximity to the receptors present in the pancreas.

Our results suggest that the NP3-67Ga interaction seems to occur with the GRP receptors in the pancreas. These nanoconstructs showed up to 3% ID/g more in the pancreas compared with nontargeted ones (NP2-67Ga). To further probe this hypothesis, we blocked the receptors with free bombesin. Here, we observed a decrease of ~2.5 to 3% in NP3-67Ga uptake in tumors. Taken together, it appears that active targeting plays a role: BBN nanoconjugates target GRP receptors in the pancreas, and BBN nanoconjugates showed more uptake in tumors than nontargeted nanoparticles. However, receptor blocking experiments reveal that uptake of the BBN-conjugated AuNPs in tumors is mediated by a passive mechanism. The contribution of both mechanisms operate in targeting of the AuNPs to tumors. However, the results pointed out that the BBN-conjugated AuNPs are not utilizing the receptor-mediated pathway as the primary route for targeting tumors, with the EPR pathway most probably playing a predominant role. In the case of i.p. administration, the nanoconjugates travel a longer distance to reach tumors than to reach intraperitoneal or retroperitoneal organs. Hence, they have a better chance of getting involved in interactions with circulating serum proteins during their way to the tumor sites, which may cause the corona effect. In brief, it is our reasoning that the receptor-mediated pathway in vivo is outweighed by the passive EPR effect or even hindered due to the possible formation of a protein corona enveloping the nanoparticles. In summary, both active and passive targeting play a role in governing the final in vivo fate of peptide conjugated nanoparticles. However, it is our reasoning that the significance of these mechanisms is highly dependent on the nanoparticle structure and its physicochemical properties. Our results encourage further evaluation of these nanoconstructs, considering their proven suitability to retain a stable coordination of ⁶⁷Ga³⁺ in a biological milieu. We anticipate that such suitability will also apply to other medically relevant trivalent radiometals, such as 111 In, 90 Y, or 177 Lu just to cite a few, conferring a high potential to this newly synthesized AuNPs in the design of multimodal tools for cancer theranostics. To fully explore this

possibility, still it is crucial to provide the nanoparticle structure with the means to overcome its inability to optimally reach the desired target tumor with adequate payload.

EXPERIMENTAL PROCEDURES

All details of materials and methods including experimental procedures are provided in the Supporting Information.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.bioconjchem.6b00102.

Experimental procedures (PDF)

AUTHOR INFORMATION

Corresponding Authors

*(M.P.C.C.) E-mail: pcampelo@ctn.tecnico.ulisboa.pt.

*(A.P.) E-mail: apaulo@ctn.tecnico.ulisboa.pt.

*(R.K.) Department of Radiology, One Hospital Dr., Columbia, MO 65211, USA. E-mail: kannanr@health.missouri.edu.

Author Contributions

^OF.S. and A.Z. contributed equally to this work.

Notes

The authors declare no competing financial interest.

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